# GENOME-WIDE ASSOCIATION STUDIES

Amirtha Ambalavanan, Ph.D.

Post Doctoral Fellow, DBMS Laboratory of Dr. Qingling Duan Botterell Hall, room 422 <u>amirtha.ambalavanan@queensu.ca</u>



## CAC

- Access to UNIX shell:
  - PC users: download MobaXterm
  - Mac users: download Xquartz
- How to access linux server in CAC
  - ssh -X yourUsername@login.cac.queensu.ca
  - Familiarize yourself with CAC WIKI page: <u>http://cac.queensu.ca/wiki/index.php/Main\_Page</u>
- To transfer files between desktop and CAC account:
  - Use FileZilla

# Outline

- Introduction to genome-wide association studies (GWAS)
- Key elements of GWAS
- Example of a GWAS
- GWAS Quality Control

## Definitions

- Gene functional unit of DNA that codes for a protein
- Genome the entirety of an organism's genetic material
- Genetics study of heredity
- Genomics the study of organism's entire genome
- Genetic association discern how genetic variations affect traits in populations



## **Genomics Vocabulary**





A/G G/T A/T Steve GATATTCGTACGGATT Mary GATGTTCGTACTGAAT Robert GATATTCGTACGGAAT Emily GATATTCGTACGGAAT SNPs Haplotypes

## **Examples of Genetic Variations**

- Single Nucleotide Variations (SNVs) once every 100-300 bases, polymorphic (SNP) if present in > 1% of population
- Copy Number Variations (CNVs) structural variations > 1000 bases
- Indels insertion and deletions
- Microsatellites DNA motifs consisting of 2-5 nucleotide repeated 5-50 times

### Mendelian vs. Complex Traits

#### **Mendelian Disorders**

Rare syndromes (Marfan's disease, cystic fibrosis, sickle cell anemia)

 Single Gene Disorders, high penetrance

 Family-based linkage studies, moderate sample size

#### **Complex Disorders**

 Common diseases
 (diabetes, CAD, arthritis, COPD, cancer)

 Multigenic and multifactorial etiology

 Population-based association studies, large sample sizes

### Common Disease – Common Variants

The majority of common diseases are strongly influenced by frequent alleles with only moderate effect size.

Eg: Diabetes, high blood pressure, heart disease

## **Association Studies**





DNA from different individuals sequenced

Variation at a single nucleotide



Some individuals will have one version of the SNP, some the other

Normal population

In a population, a certain percentage will have one version, the rest the other

© Gibson & Muse, A Primer of Genome Science A higher than expected incidence in a disease group suggests SNPIG is associated with a disease (or SNPIA is protective)

Sample with disease

# Genotyping



Allele discrimination plot 1.8 1.3 ⊢ 0.8 0.3 100 0.1 0.3 0.5 0.7 0.9 1.1 G

rs1801321 (172G>T variant) in RAD51



rs2619681 (C>T variant) in RAD51

Allele discrimination plot





- 11



© Francis Collins, 2008

### What is a GWAS?

 <u>Genome-Wide Association Study</u> – interrogates the relationship between genome-wide genetic variations and a trait.

## **Direct and Indirect SNP tests**



### **Genomics Evolution**

| 1953 – Wa<br>and Crick,<br>Structure o<br>DNA | tson<br>ıf            |                                   | 198<br>Ger<br>Via<br>Clo | 89 - CF⊺<br>ne Map<br>Positio<br>ning ↓  | ΓR<br>ped<br>nal | 2<br>F<br>C<br>F | 2005 – I<br>Publishe<br>Comple<br>I with A                        | First (<br>ed Lin<br>ment<br>.MD<br>↓ | GWAS<br>king<br>Factor | NGS |
|---|-----------------------|-----------------------------------|--------------------------|--|------------------|------------------|---|---------------------------------------|------------------------|-----|
| 1949 – Lin<br>Pauling, "S                     | 1960<br>Ius<br>Sickle | →<br>Mendelian Diseas<br>Genetics | se                       | 1990                                     | 1                | Cano<br>Gene     | didate<br>e Era   |                                       | GWAS<br>Era            |     |
| Cell Anemia, A<br>Molecular<br>Disease"       |                       |                                   |                          | 1990 - Human<br>Genome<br>Project Begins |                  | nan<br>gins      | 2001 – First<br>Draft of Human<br>Genome<br>Sequence<br>Published |                                       |                        |     |

### GWAS

2005 – 1<sup>st</sup> GWAS: Age-related macular degeneration

#### 2014-14,342 associations



# Key Elements of GWAS

- case-control study design
  - potential confounders to analysis (population stratification, ascertainment)
- genome-wide genotyping
  - data management, special programs and computing requirements
  - quality control
- statistical association testing
  - multiple comparisons

## **GWAS** tools

### Most popular:

• Plink: <u>https://www.cog-genomics.org/plink/1.9/</u>

#### Not as popular:

- SNPassoc (Juan R. González 1, et al. Bioinformatics, 2007 23(5):654-655)
- GenABEL (Aulchenko Y.S., Ripke S., Isaacs A., van Duijn C.M. Bioinformatics. 2007, 23(10):1294-6.)

# **Data Analysis**

- Single SNP analysis using pre-specified genetic models
  - 2 x 3 table (2-df)
  - Additive model (1-df), and test for additivity
  - All possible genetic models (recessive, dominant)

|            | AA | AB | BB |
|------------|----|----|----|
| Affected   |    |    |    |
| Unaffected |    |    |    |

### Visualization of Results

- Manhattan Plots: genome-wide p-values
- QQ Plots: assess bias/significance



## **False Positives**

Too many dependent tests: must adjust for number of tests

#### Bonferroni correction

- Nominal significance level = study-wide significance / number of tests
- Nominal significance level =  $0.05/500,000 = 10^{-7}$
- Effective number of tests
  - Take LD into account
- False discovery rate (FDR)
  - Expected proportion of false discoveries among all discoveries
  - Offers more power than Bonferroni
  - Holds under weak dependence of the tests

# Replication

- The approach may limit the number of false positives
- Confirmation is needed to dissect true from false positives
  - Replication, examine the results from the 2<sup>nd</sup> stage only
  - Joint analysis, combining data from 1<sup>st</sup> stage with 2<sup>nd</sup> stage

# Replication



### Sample size vs. genotype prevalence and OR



# Case of the Missing Heritability

Environment, Gene-Environment interactions, epistasis, haplotype effects, multifactorial traits, small effects, rare variants, LD, Population Stratification (subtle ancestral differences between case and control groups)



#### Published Genome-Wide Associations through 12/2013 Published GWA at p≤5X10<sup>-8</sup> for 17 trait categories



# EXAMPLE OF GWAS

### Complement Factor H Polymorphism in Age-Related Macular Degeneration

Robert J. Klein,<sup>1</sup> Caroline Zeiss,<sup>2\*</sup> Emily Y. Chew,<sup>3\*</sup> Jen-Yue Tsai,<sup>4\*</sup> Richard S. Sackler,<sup>1</sup> Chad Haynes,<sup>1</sup> Alice K. Henning,<sup>5</sup> John Paul SanGiovanni,<sup>3</sup> Shrikant M. Mane,<sup>6</sup> Susan T. Mayne,<sup>7</sup> Michael B. Bracken,<sup>7</sup> Frederick L. Ferris,<sup>3</sup> Jurg Ott,<sup>1</sup> Colin Barnstable,<sup>2</sup> Josephine Hoh<sup>7</sup><sup>†</sup>

Age-related macular degeneration (AMD) is a major cause of blindness in the elderly. We report a genome-wide screen of 96 cases and 50 controls for polymorphisms associated with AMD. Among 116,204 single-nucleotide polymorphisms genotyped, an intronic and common variant in the complement factor H gene (*CFH*) is strongly associated with AMD (nominal *P* value  $<10^{-7}$ ). In individuals homozygous for the risk allele, the likelihood of AMD is increased by a factor of 7.4 (95% confidence interval 2.9 to 19). Resequencing revealed a polymorphism in linkage disequilibrium with the risk allele representing a tyrosine-histidine change at amino acid 402. This polymorphism is in a region of CFH that binds heparin and C-reactive protein. The *CFH* gene is located on chromosome 1 in a region repeatedly linked to AMD in family-based studies.

### **Case-Control Design, Ascertainment**

Study design. We report a whole-genome case-control association study for genes involved in AMD. To maximize the chance of success, we chose clearly defined phenotypes for cases and controls. Case individuals exhibited at least some large drusen in a quantitative photographic assessment combined with evidence of sight-threatening AMD (geographic atrophy or neovascular AMD). Control individuals had either no or only a few small drusen. We analyzed our data using

# Confounding

All individuals identified themselves as "white, not of Hispanic origin." To the extent possible, we kept the proportions of males/ females and smokers/nonsmokers the same in cases and controls. Controls were purposely chosen to be older than the cases to increase the probability that they would remain without AMD (table S1).

- Population Stratification (subtle ancestral differences between case and control groups)
- Traditional confounders (gender, environmental exposures)
- Phenotype misclassification (phenocopies)

## **Association Testing**

Single-marker associations. For each SNP, we tested for allelic association with disease status. To account for multiple testing, we used the Bonferroni correction and considered significant only those SNPs for which  $P < 0.05/103,611 = 4.8 \times 10^{-7}$ . This correction is known to be conservative and thus "overcorrected" the raw P values (14). Of the autosomal SNPs, only two, rs380390 and rs10272438, are significantly associated with disease status (Bonferroni-corrected P = 0.0043 and P =0.0080, respectively) (Fig. 1A).

### Visualization of Results

- Manhattan Plots
  - genome-wide p-values
- Locus Plots
  - gene-level visualization
- QQ Plots
  - assess bias/significance
- LD Plots
  - visualize local patterns of linkage disequilibrium





| Trait                         | Gene with GWAS hits | Known or candidate drug                |  |  |
|-------------------------------|---------------------|--|--|--|
| Type 2 Diabetes               | SLC30A8/KCNJ11      | ZnT-8 antagonists/Glyburide            |  |  |
| <b>Rheumatoid Arthritis</b>   | PADI4/IL6R          | BB-CI-amidine/Tocilizumab              |  |  |
| Ankylosing<br>Spondylitis(AS) | TNFR1/PTGER4/TYK2   | TNF-<br>inhibitors/NSAIDs/fostamatinib |  |  |
| Psoriasis(Ps)                 | IL23A               | Risankizumab                           |  |  |
| Osteoporosis                  | RANKL/ESR1          | Denosumab/Raloxifene and HRT           |  |  |
| Schizophrenia                 | DRD2                | Anti-psychotics                        |  |  |
| LDL cholesterol               | HMGCR               | Pravastatin                            |  |  |
| AS, Ps, Psoriatic Arthritis   | IL12B               | Ustekinumab                            |  |  |

# QUALITY CONTROL

| <ul> <li>recommended by Laurie et al. (2010) Genet Epi</li> <li>Genotyping batch quality (because all genotypes were re-called together for this study, these QC/QA steps may not be appropriate)</li> <li>a. Median missing call rate of samples in a batch</li> <li>b. Allelic frequency difference relative to a pool of other batches</li> <li>c. Number of misidentified samples</li> <li>2. Sample quality</li> <li>a. Missing call rate over SNPs</li> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Relatedness</li> </ul> |
|--|
| <ol> <li>Genotyping batch quality (because all genotypes were re-called together for this study, these QC/QA steps may not be appropriate)</li> <li>Median missing call rate of samples in a batch</li> <li>Allelic frequency difference relative to a pool of other batches</li> <li>Number of misidentified samples</li> <li>Sample quality</li> <li>Missing call rate over SNPs</li> <li>Allelic imbalance measure ("BAlleleFreq")</li> <li>Median genotype confidence score</li> <li>Heterozygosity over all SNPs</li> <li>Sample identity</li> <li>Genetic versus annotated gender check</li> <li>Planned duplicate sample check</li> <li>Relatedness</li> </ol>  |
| <ul> <li>together for this study, these QC/QA steps may not be appropriate)</li> <li>a. Median missing call rate of samples in a batch</li> <li>b. Allelic frequency difference relative to a pool of other batches</li> <li>c. Number of misidentified samples</li> <li>2. Sample quality</li> <li>a. Missing call rate over SNPs</li> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>   |
| <ul> <li>a. Median missing call rate of samples in a batch</li> <li>b. Allelic frequency difference relative to a pool of other batches</li> <li>c. Number of misidentified samples</li> <li>2. Sample quality</li> <li>a. Missing call rate over SNPs</li> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>   |
| <ul> <li>b. Allelic frequency difference relative to a pool of other batches</li> <li>c. Number of misidentified samples</li> <li>2. Sample quality</li> <li>a. Missing call rate over SNPs</li> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| <ul> <li>c. Number of misidentified samples</li> <li>2. Sample quality</li> <li>a. Missing call rate over SNPs</li> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Relatedness</li> </ul>   |
| <ol> <li>Sample quality</li> <li>Missing call rate over SNPs</li> <li>Allelic imbalance measure ("BAlleleFreq")</li> <li>Median genotype confidence score</li> <li>Heterozygosity over all SNPs</li> <li>Sample identity</li> <li>Genetic versus annotated gender check</li> <li>Planned duplicate sample check</li> <li>Relatedness</li> </ol>  |
| <ul> <li>a. Missing call rate over SNPs</li> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| <ul> <li>b. Allelic imbalance measure ("BAlleleFreq")</li> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| <ul> <li>c. Median genotype confidence score</li> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| <ul> <li>d. Heterozygosity over all SNPs</li> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>   |
| <ul> <li>3. Sample identity</li> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| <ul> <li>a. Genetic versus annotated gender check</li> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| <ul> <li>b. Planned duplicate sample check</li> <li>c. Belatedness</li> </ul>  |
| c. Relatedness   |
|  |
| d. Ethnicity   |
| 4. Case-control confounding  |
| a. Principal component differences   |
| b. Missing call rate differences   |
| 5. SNP quality   |
| a. Missing call rate over samples  |
| b. Duplicate sample discordance  |
| c. Mendelian errors  |
| d. Hardy-Weinberg equilibrium  |
| e. Minor allele frequency  |

Subject level QC

SNP level QC

# SUBJECT LEVEL QC

# Missing genotype calls

The proportion of missing genotype calls for each individual:

 exclude samples that are missing more than 10% of their genotype calls as these are likely to be *low quality DNA* samples with error-ridden genotype calls.

#### \$ plink --file GWAS --mind 0.10 --recode --out GWAS2

 See file GWAS\_clean\_mind.log to see how many samples are excluded based on this criteria.

# Heterozygosity over all SNPs

Individuals with excessive heterozygosity could represent contamination across samples.

#### \$ plink --file GWAS2 --het

--het computes observed and expected autosomal homozygous genotype counts for each sample to file plink.het

• reports F coefficient estimates:

[observed hom. count] - [expected count]) / ([total observations] - [expected count]))

Expected count is based on allele freq.

## Plotting heterozygosity

> Dataset <- read.table("plink.het", header=TRUE, sep="", na.strings="NA", dec=".", strip.white=TRUE)

- > mean(Dataset\$F) #F measure of homozygosity
- > sd(Dataset\$F)
- > jpeg("hist.jpeg", height=1000, width=1000)
- > hist(scale(Dataset\$F), xlim=c(-4,4))
- > dev.off()



### **Gender Check**

Using SNP genotypes to verify the gender of individuals:

- homozygosity (F) on the X chromosome in each individual: Female if < 0.2, male if > 0.8



Mean X Chrom Intensities

### **Duplicates**

Check if there are any duplicate samples in the dataset:

Calculate IBS matrix between all members of the study.

#### \$plink --file GWAS2 --genome --out duplicates

- > dups = read.table("duplicates.genome", header = T)
- > problem\_pairs = dups[which(dups\$PI\_HAT > 0.4),]
- > problem\_pairs

|       |         |      |         | PI_  |
|-------|---------|------|---------|------|
| FID1  | IID1    | FID2 | IID2    | HAT  |
| M041  | NA25000 | M033 | NA19774 | 1    |
| 13291 | NA25001 | 1344 | NA12057 | 1.00 |
| 1444  | NA12739 | 1444 | NA12749 | 0.51 |
| 1444  | NA12739 | 1444 | NA12748 | 0.50 |

#### **Table 2: Duplicates and relatedness**

### **Racial misclassification of individuals**

Autosomal SNPs were selected for principal components analysis (PCA) using the following criteria: HWE pvalue>0.01, MAF>0.05, and marker represented in HapMap III.



# **SNP LEVEL QC**

# Minor Allele Frequency (MAF)

Creating two versions of you dataset:

 One dataset consisting of SNPs with MAF > 0.05 and one with MAF < 0.05.</li>

\$ plink --file GWAS\_clean\_mind --maf 0.05 --recode -out MAF\_greater\_5
\$ plink --file GWAS\_clean\_mind --exclude
MAF\_greater\_5.map --recode --out MAF\_less\_5

# **Missingness by SNP**

- Common SNPs (i.e. MAF<u>></u>5%) were flagged if they showed >5% missing calls.
- Less common SNPs (i.e. MAF <5%) were flagged if it had a missing rate >2%.

#### \$ plink --file MAF\_greater\_5 --geno 0.05 --recode --out MAF\_greater\_5\_clean

#--geno filters out all variants with missing call rates exceeding the provided value to be removed (similar to -mind for subjects)

The Hardy-Weinberg Principle is a mathematical model stating that the allele and genotype frequencies within a population will remain constant from generation to generation, in the absence of any other evolutionary influences. This model is only valid under a set of specific conditions:

- 1. Random mating
- 2. Infinitely large population
- 3. No mutations
- 4. No natural selection
- 5. No migration (immigration/emigration)

| HWE         |       | Females              |                      |  |  |
|-------------|-------|----------------------|----------------------|--|--|
|             |       | A (p)                | a (q)                |  |  |
| Males A (p) |       | AA (p <sup>2</sup> ) | Aa (pq)              |  |  |
|             | a (q) | Aa (pq)              | Aa (q <sup>2</sup> ) |  |  |

 $p^2 + 2pq + q^2 = 1$ 

In reality, this is not the case.

Allele and genotype frequencies change in all human populations worldwide. There are always alleles becoming more common, others becoming less common, some being lost entirely, and brand new alleles being created by mutation.

Therefore, there must be natural evolutionary mechanisms in play, such as:

- natural selection
- genetic drift
- mutations
- gene flow

 extreme deviations from HWE may be due to genotyping artifacts (p-values < 10<sup>-7</sup>)

#### \$ plink --file GWAS\_clean3 --pheno pheno.txt --pheno-name Aff --hardy

--hardy writes a list of genotype counts and HW exact test statistics to plink.hwe

Open the file plink.hwe and look for SNPs with p-values of 10-7 or smaller.
> hardy = read.table("plink.hwe", header = T)
> names(hardy)
> hwe\_prob = hardy[which(hardy\$P < 0.000009),]</pre>

Create a text file called "HWE\_out.txt" with the SNPs from hwe\_prob.

#### \$ plink --file GWAS\_clean3 --exclude HWE\_out.txt --recode --out GWAS\_clean4

Or

# **PLINK TUTORIAL**